# Number Theory and Cryptography <br> Chapter 4 

With Question/Answer Animations

## Chapter Summary

4.1 Divisibility and Modular Arithmetic
4.2 Integer Representations and Algorithms
4.3 Primes and Greatest Common Divisors
4.4 Solving Congruences
4.5 Applications of Congruences
4.6 Cryptography

## Integer Representations and Algorithms

Section 4.2

## Section Summary

- Integer Representations
- Base $b$ Expansions
- Binary Expansions
- Octal Expansions
- Hexadecimal Expansions
- Base Conversion Algorithm
- Algorithms for Integer Operations


## Representations of Integers

- The modern world uses decimal, or base 10, notation:
- 965 means $9 \cdot 10^{2}+6 \cdot 10^{1}+5 \cdot 10^{0}$.
- We can represent \#'s using any base $b>1, b \in \mathrm{Z}^{+}$
- For computing and communications, bases $b=$
- 2 (binary)
- 8 (octal)
- 16 (hexadecimal) are important
- The ancient
- Mayans used base 20
- Babylonians used base 60.


## Base $b$ Representations

- We can use any base $b>1, b \in \mathrm{Z}^{+}$because of this theorem: Theorem 1: Let $b>1, b \in \mathrm{Z}^{+}$. Then if $n \in \mathrm{Z}^{+}$, it can be expressed uniquely in the form:

$$
n=a_{k} b^{k}+a_{k-1} b^{k-1}+\ldots .+a_{1} b+a_{0}
$$

where $k \in \mathrm{~N}, a_{0}, a_{1}, \ldots . a_{k} \in \mathrm{~N},<b$, and $a_{k} \neq 0$.
(We will prove this using math induction in Section 5.1.)

- $a_{j}$ are digits (or bits in case $b=2$ ).
- The base b representation or expansion is denoted

$$
\left(a_{k} a_{k-1} \ldots a_{1} a_{0}\right)_{b} .
$$

(We usually omit the subscript for base 10 expansions.)

## Binary Representations

Most computers represent integers and do arithmetic with binary (base 2), using digits (bits) 0 and 1.
Example: What are the decimals for the following binary representations?
a. $(11011)_{2}$
b. $(10101 \text { 1111 })_{2}$

Solution:
a. $(11011)_{2}=1 \cdot 2^{4}+1 \cdot 2^{3}+0 \cdot 2^{2}+1 \cdot 2^{1}+1 \cdot 2^{0}=27$.
b. $(101011111)_{2}=1 \cdot 2^{8}+0 \cdot 2^{7}+1 \cdot 2^{6}+0 \cdot 2^{5}+1 \cdot 2^{4}$ $+1 \cdot 2^{3}+1 \cdot 2^{2}+1 \cdot 2^{1}+1 \cdot 2^{0}=351$.

## Octal Expansions

The octal expansion (base 8) uses the digits $\{0,1, \ldots 7\}$.
Example: Find decimal expansions for
a. $(111)_{8}$
b. $(7016)_{8}$

Solution:
a. $1 \cdot 8^{2}+1 \cdot 8^{1}+1 \cdot 8^{0}=64+8+1=73$
b. $7 \cdot 8^{3}+0 \cdot 8^{2}+1 \cdot 8^{1}+6 \cdot 8^{0}=3598$

## Hexadecimal Expansions

Hexadecimal expansion needs 16 digits, but decimals provide only 10. So 6 letters are used:

$$
\{0,1,2,3,4,5,6,7,8,9, A, B, C, D, E, F\}
$$

Example: Find decimal expansions for
a. $(\mathrm{E} 5)_{16}$
b. $(2 \mathrm{AE} 0 \mathrm{~B})_{16}$

Solution:
a. $(E 5)_{16}=14 \cdot 16^{1}+5 \cdot 16^{0}=224+5=229$ dec hex
b. $(2 \mathrm{AE} 0 \mathrm{~B})_{16}=2 \cdot 16^{4}+10 \cdot 16^{3}+14 \cdot 16^{2}$
10 A

$$
+0 \cdot 16^{1}+11 \cdot 16^{0}=175627
$$

## Decimal to Base $b$ Conversion

To construct base $b$ expansion of $n \in \mathrm{Z}^{+}$:

- Divide $n$ by $b$

$$
n=b q_{0}+a_{0} \quad 0 \leq a_{0} \leq b
$$

- The remainder, $a_{0}$, is rightmost digit.
- Next, divide $q_{0}$ by $b$ (previous quotient is new dividend)

$$
q_{0}=b q_{1}+a_{1} \quad 0 \leq a_{1} \leq b
$$

- The remainder, $a_{1}$, is $2^{\text {nd }}$ digit from right.
- Continue by successively dividing the quotients by $b$,
- obtaining additional base $b$ digits as the remainder.
- The process terminates when the quotient is 0 .


## Algorithm: Constructing Base $b$ Expansions

```
procedure expansion \(\left(n, b \in \mathrm{Z}^{+}, b>1\right)\)
\(q:=n\)
\(k:=0\)
while \((q \neq 0)\)
    \(a_{k}:=q \bmod b\)
    \(q:=q \operatorname{div} b\)
    \(k:=k+1\)
\(\operatorname{return}\left(a_{k-1}, \ldots, a_{1}, a_{0}\right)\left\{\left(a_{k-1} \ldots a_{1} a_{0}\right)_{b}\right.\) is base \(b\) expansion of \(\left.n\right\}\)
```

- $q$ represents the quotient obtained by successive divisions by $b$, starting with $q=n$.
- The digits in the expansion are the remainders of the division given by $q \bmod b$.
- The algorithm terminates when $q=0$ is reached.


## Conversion to octal

## Example: Find octal expansion of 12345

Solution: Successively divide by 8:

- $12345=8 \cdot 1543+1$
- $1543=8 \cdot 192+7$
- $192=8 \cdot 24+0$
- $24=8 \cdot 3+0$
- $3=8 \cdot \mathbf{0}+\mathbf{3}$ (stop when the quotient is 0 )

The digits are the remainders read backwards:
$(30071)_{8}$

## Hex, Octal and Binary Chart

Iexadecimal, 0 ctal, and Binary Representation of the Integers 0 through 15.

| D | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| H | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | A | B | C | D | E | F |
| O | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 |
| B | 0 | 1 | 10 | 11 | 100 | 101 | 110 | 111 | 1000 | 1001 | 1010 | 1011 | 1100 | 1101 | 1110 | 1111 |

Initial 0s are not shown
Each octal digit corresponds to a block of 3 binary digits. Each hexadecimal digit corresponds to a block of 4 binary digits. So, conversion between binary, octal, and hexadecimal is easy.

## Conversion within Hex, Octal \& Binary

Example: Find octal and hex expansions of

$$
(11111010111100)_{2} .
$$

## Solution:

- Octal: group into blocks of three adding initial 0s as needed $(011111010111 \text { 100) })_{2}$.
Blocks correspond to $\begin{array}{lllll}3 & 7 & 2 & 7 & 4\end{array}$. Hence, solution is $(37274)_{8}$.
- Hex: group into blocks of four adding initial 0 s as needed (0011 11101011 1100) $)_{2}$.
Blocks correspond to 3
B
C.

Hence, solution is
$(3 \mathrm{EBC})_{16}$.

## Binary Addition of Integers

- Since computer chips work with binary numbers, algorithms for performing operations are important.

```
procedure \(\operatorname{add}\left(a=\left(a_{n-1}, a_{n-2}, \ldots, a_{0}\right)_{2},\left(b=b_{n-1}, b_{n-2}, \ldots, b_{0}\right)_{2}\right)\{\) binary expansions for \(a, b\}\)
\(c:=0\) (carry from previous addition)
for \(j:=0\) to \(n-1\)
        \(t:=a_{j}+b_{j}+c\)
    \(c:=t \operatorname{div} 2\)
    \(s_{j}:=t \bmod 2\)
\(s_{n}:=c\)
\(\operatorname{return}\left(\mathrm{s}=\left(s_{n}, s_{n-1}, \ldots, s_{0}\right)_{2}\right)\{s\), the binary expansion of \(a+b\).
```

- \#operations is $4 n$ ( $2 n$ bit adds, $n$ div's, $n$ mod's).
- So in particular, \#bit additions is $O(n)$.


## Binary Multiplication of Integers

procedure mult $\left(a=\left(a_{n-1}, a_{n-2}, \ldots, a_{0}\right)_{2},\left(b=b_{n-1}, b_{n-2}, \ldots, b_{0}\right)_{2}\right)$
for $j:=0$ to $n-1$
if $b_{j}=1$ then $c_{j}=a$ shifted $j$ places
else $c_{j}:=0\left\{c_{0}, c_{1}, \ldots, c_{n-1}\right.$ are the partial products $\}$
$p:=0$
for $j:=0$ to $n-1$
$p:=p+c_{j}$
return $p\{\mathrm{p}$ is the value of $a b\}$

- Output will be of length $2 n$
- $7(1,1,1)_{2} \times 7(1,1,1)_{2}=49(1,1,0,0,0,1)_{2}$
- \#additions of bits is $O\left(n^{2}\right)$.
- Could easily modify so that inputs are of lengths m, n.


## Binary Modular Exponentiation

In cryptography, it is important to be able to find $b^{n} \bmod m$ efficiently, where $b, n$, and $m$ are large integers.

- Use the binary expansion of $n\left(a_{k-1}, \ldots, a_{1}, a_{0}\right)_{2}$, to compute $b^{n}$. Note that:

$$
b^{n}=b^{a_{k-1} \cdot 2^{k-1}+\cdots+a_{1} \cdot 2+a_{0}}=b^{a_{k-1} \cdot 2^{k-1}} \cdots b^{a_{1} \cdot 2} \cdot b^{a_{0}}
$$

- $\therefore$ to compute $b^{n}$, compute $b, b^{2},\left(b^{2}\right)^{2}=b^{4},\left(b^{4}\right)^{2}=b^{8}, \ldots, b^{2^{k}}$ and then multiply the terms $b^{2^{j}}$ in this list, where $a_{j}=1$.

Example: Compute $3^{11}$ using this method.
Solution: Note that $11=(1011)_{2}$ so $3^{11}=3^{8} 3^{2} 3^{1}=\left(\left(3^{2}\right)^{2}\right)^{2} 3^{2} 3^{1}$
$=\left(9^{2}\right)^{2} \cdot 9 \cdot 3=(81)^{2} \cdot 9 \cdot 3=6561 \cdot 9 \cdot 3=117,147$.
continued $\rightarrow$

## Binary Modular Exponentiation Algorithm

procedure modular exponentiation (b: integer, $\left.n=\left(a_{k-1} a_{k-2} \ldots a_{1} a_{0}\right)_{2}, m \in \mathrm{Z}^{+}\right)$
$x:=1$
power := $b \bmod m$
for $i:=0$ to $k-1$
if $a_{i}=1$ then $x:=(x \cdot$ power $) \bmod m$
power := (power•power) $\bmod m$
return $x\left\{x\right.$ equals $\left.b^{n} \bmod m\right\}$

- Algorithm successively finds
$b \bmod m, b^{2} \bmod m, b^{4} \bmod m, \ldots, b^{2^{k-1}} \bmod m$,
- And multiplies together the terms $b^{2^{j}}$ where $a_{j}=1$.
- $O\left((\log m)^{2} \log n\right)$ bit operations used.

